



**Grant Number:** 5U90TP000531-03 REVISED  
**FAIN:** U90TP000531

**Principal Investigator(s):**  
Melissa N Friel

**Project Title:** TP12-1201 HPP AND PHEP COOPERATIVE AGREEMENTS

BRET FISCHER  
STATE OF MISSOURI  
P.O. BOX 570  
920 WILDWOOD DRIVE  
JEFFERSON CITY, MO 65102

**Award e-mailed to:** grants@health.mo.gov

**Budget Period:** 07/01/2014 – 06/30/2015

**Project Period:** 07/01/2012 – 06/30/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OFPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Sharron Orum  
Grants Management Officer  
Centers for Disease Control and Prevention

Additional information follows

# SECTION I – AWARD DATA – 5U90TP000531-03 REVISED

## Award Calculation (U.S. Dollars)

Salaries and Wages	\$2,924,692
Fringe Benefits	\$1,251,251
Personnel Costs (Subtotal)	\$4,175,943
Equipment	\$162,574
Supplies	\$242,042
Travel Costs	\$151,781
Consortium/Contractual Cost	\$9,955,823
Other	\$463,726

Federal Direct Costs	\$15,151,889
Federal F&A Costs	\$570,899
Approved Budget	\$17,301,452
Federal Share	\$15,722,788
Non-Federal Share	\$1,578,664
Less Unobligated Balance	\$1,003,647
<b>TOTAL FEDERAL AWARD AMOUNT</b>	<b>\$14,719,141</b>

**AMOUNT OF THIS ACTION (FEDERAL SHARE)** \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$16,804,245

05 \$16,804,245

## Fiscal Information:

CFDA Number: 93.074

EIN:

Document Number: 000531TP14

IC	CAN	2014	2015	2016
TP	921027R	\$901,107		
TP	9213367	\$10,037,917	\$16,804,245	\$16,804,245
TP	939ZVNM	\$3,780,117		

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR ( 3 )		
GRANT NUMBER		TOTAL FEDERAL AWARD AMOUNT
5U90TP000531-03		\$14,719,141
3U90TP000531-03S1		\$59,829
TOTAL		\$14,778,970
SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$14,719,141	\$14,778,970
4	\$16,804,245	\$16,804,245
5	\$16,804,245	\$16,804,245

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

## CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ERAAPPS 01/29/2015

## SECTION II – PAYMENT/HOTLINE INFORMATION – 5U90TP000531-03 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhs tips@oig.hhs.gov](mailto:hhs tips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

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### SECTION III – TERMS AND CONDITIONS – 5U90TP000531-03 REVISED

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) U90TP000531. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Coordinating Office For Terrorism Preparedness And Emergency Response (CTPER)

#### **Treatment of Program Income:** Additional Costs

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### SECTION IV – TP Special Terms and Conditions – 5U90TP000531-03 REVISED

Funding Opportunity Announcement (FOA) Number: TP12-1201  
Award Number: 5 U90 TP000531-03  
Award Type: Cooperative Agreement  
Applicable Cost Principles: 2 CFR Part 225 Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87)

<b>AWARD INFORMATION</b>
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#### TERMS AND CONDITIONS OF THIS AWARD

NOTE 1 PHEP Carryover: The purpose of this amended Notice of Award is to provide carryover of **PHEP** unobligated funds in the amount of \$318,252 from budget period Year 2 to budget Year 3, as requested in your letter dated December 19, 2014. The amount of the carryover will be subject to reduction if the actual unobligated balance is less.

NOTE 2: These funds have been approved by the cost categories as follows:

BASE: \$318,252

DISAPPROVED COST: Requested funds in the amount of \$55,803 for Tetra Tech Contract have been disapproved and the funds are NOT included in the total authorized carryover amount.

These funds have been disapproved because this expense is NOT within the scope of the PHEP capabilities or Missouri existing workplan.

\*\*\* NOTE: See attached spreadsheets for allocation of funds.

NOTE 2.b.: To ensure the timely use of unobligated balances, recipients must use the first-in, first-out principle for recognizing and recording obligations and expenditures of those funds.

NOTE 3: State Matching PHEP Funds Requirement: To maintain this year's \$1:\$10 non-Federal match required by Public Law 109-417, the level of non-Federal contributions reported for this carryover is \$31,852. This amount is the required ratio of cost. This amount is incorporated in the budget on Section 1, Award Data of this Notice of Award.

NOTE 4: HPP Carryover: The purpose of this amended Notice of Award is to provide carryover of **HPP** unobligated funds in the total amount of \$322,284 from budget period Year 2 to budget Year 3, as requested in your letter dated December 9, 2014. The amount of the carryover will be subject to reduction if the actual unobligated balance is less.

NOTE 5: State Matching **HPP** Funds Requirement: To maintain this year's \$1:\$10 non-Federal match required by Public Law 109-417, the level of non-Federal contributions reported for this carryover is \$32,228. This amount is the required ratio of cost. This amount is incorporated in the budget on Section 1, Award Data of this Notice of Award. NOTE 5: To ensure the timely use of unobligated balances, recipients must use the first-in, first-out principle for recognizing and recording obligations and expenditures of those funds.

NOTE 6: These funds are approved for the current fiscal year budget period only and will be available through June 30, 2015.

NOTE 7: Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.

NOTE 8: All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

**Programmatic Contact:**

Brandi Jordan, Project Officer  
Centers for Disease Control  
Public Health Emergency Preparedness Program  
Telephone: 404-639-7856  
Email: HY94@cdc.gov

**Programmatic Contact:**

William Mangieri, Project Officer  
ASPR  
Hospital Preparedness Program  
Telephone: 972-606-2875  
Email: [William.Mangieri@hhs.gov](mailto:William.Mangieri@hhs.gov)

**STAFF CONTACTS**

**Grants Management Specialist:** Terika Slocumb  
University Office Park, Colgate Bldg  
Brandywine Road  
Atlanta, GA 30341  
**Email:** [wwq5@cdc.gov](mailto:wwq5@cdc.gov) **Phone:** 770-488-2051

**Grants Management Officer:** Sharron Orum  
Centers for Disease Control and Prevention

Procurement and Grants Office  
Koger Center, Colgate Building  
2920 Brandywine Road, Mail Stop K 14  
Atlanta, GA 30341  
**Email:** spo2@cdc.gov **Phone:** 770-488-2716

**SPREADSHEET SUMMARY**

**GRANT NUMBER:** 5U90TP000531-03 REVISED

**INSTITUTION:** MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	Year 3	Year 4	Year 5
Salaries and Wages	\$2,924,692		
Fringe Benefits	\$1,251,251		
Personnel Costs (Subtotal)	\$4,175,943		
Equipment	\$162,574		
Supplies	\$242,042		
Travel Costs	\$151,781		
Consortium/Contractual Cost	\$9,955,823		
Other	\$463,726	\$16,804,245	\$16,804,245
TOTAL FEDERAL DC	\$15,151,889	\$16,804,245	\$16,804,245
TOTAL FEDERAL F&A	\$570,899		
TOTAL COST	\$14,719,141	\$16,804,245	\$16,804,245

**Missouri**

**Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) -- Program Announcement TP12-1201 -- Budget Period 03**

	PHEP Total	HPP Total	Total Funding	PHEP Match	HPP Match	Total Match	Total Budget
<b>Financial Assistance</b>							
Personnel	\$ 2,579,080	\$ 345,612	\$ 2,924,692	\$ 416,331	\$ -	\$ 416,331	\$ 3,341,023
Fringe	\$ 1,099,517	\$ 151,734	\$ 1,251,251	\$ 183,188	\$ -	\$ 183,188	\$ 1,434,439
Travel	\$ 136,662	\$ 15,119	\$ 151,781	\$ -	\$ -	\$ -	\$ 151,781
Equipment	\$ 117,183	\$ 45,391	\$ 162,574	\$ -	\$ -	\$ -	\$ 162,574
Supplies	\$ 240,317	\$ 1,725	\$ 242,042	\$ -	\$ -	\$ -	\$ 242,042
Contracts	\$ 6,494,791	\$ 3,461,032	\$ 9,955,823	\$ 423,811	\$ 417,918	\$ 841,729	\$ 10,797,552
Consultant	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other	\$ 371,529	\$ 92,197	\$ 463,726	\$ 22,309	\$ -	\$ 22,309	\$ 486,035
Total Direct Cost	\$ 11,039,079	\$ 4,112,810	\$ 15,151,889	\$ 1,045,639	\$ 417,918	\$ 1,463,557	\$ 16,615,446
Total Indirect Cost	\$ 504,531	\$ 66,368	\$ 570,899	\$ 115,107	\$ -	\$ 115,107	\$ 686,006
<b>TOTAL--FA</b>	\$ 11,543,610	\$ 4,179,178	\$ 15,722,788	\$ 1,160,746	\$ 417,918	\$ 1,578,664	\$ 17,301,452
<b>Direct Assistance</b>							
Personnel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Contract	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL--DA</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL AWARD</b>	\$ 11,543,610	\$ 4,179,178	\$ 15,722,788	\$ 1,160,746	\$ 417,918	\$ 1,578,664	\$ 17,301,452

*Note: The amounts shown in FA-Other include funds that are in suspense and restricted pending prior approval. Restricted amounts are shown below.*

<b>Restricted Funds</b>							
Restrict during Review	\$ -	\$ -	\$ -				
Unallocated Funds	\$ -	\$ -	\$ -				
		Total Restricted	\$ -				
<b>Estimated Unobligated Funds</b>	\$ 604,586	\$ 399,061	\$ 1,003,647	\$ 60,459	\$ 39,906	\$ 100,365	\$ 1,104,012

**Missouri**

**Public Health Emergency Preparedness (PHEP) -- Program Announcement TP12-1201 -- Budget Period 03**

	Base	CRI	Level 1 Lab	Total Funded	Match	Total Budget
<b>Financial Assistance</b>						
Personnel	\$ 2,541,701	\$ 37,379	\$ -	\$ 2,579,080	\$ 416,331	\$ 2,995,411
Fringe	\$ 1,083,070	\$ 16,447	\$ -	\$ 1,099,517	\$ 183,188	\$ 1,282,705
Travel	\$ 128,346	\$ 8,316	\$ -	\$ 136,662	\$ -	\$ 136,662
Equipment	\$ 117,183	\$ -	\$ -	\$ 117,183	\$ -	\$ 117,183
Supplies	\$ 240,053	\$ 264	\$ -	\$ 240,317	\$ -	\$ 240,317
Contracts	\$ 5,657,604	\$ 837,187	\$ -	\$ 6,494,791	\$ 423,811	\$ 6,918,602
Consultant	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other	\$ 371,452	\$ 77	\$ -	\$ 371,529	\$ 22,309	\$ 393,838
Total Direct Cost	\$ 10,139,409	\$ 899,670	\$ -	\$ 11,039,079	\$ 1,045,639	\$ 12,084,718
Total Indirect Cost	\$ 503,094	\$ 1,437	\$ -	\$ 504,531	\$ 115,107	\$ 619,638
<b>TOTAL--FA</b>	\$ 10,642,503	\$ 901,107	\$ -	\$ 11,543,610	\$ 1,160,746	\$ 12,704,356
<b>Direct Assistance</b>						
Personnel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Contract	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL--DA</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>TOTAL AWARD</b>	\$ 10,642,503	\$ 901,107	\$ -	\$ 11,543,610	\$ 1,160,746	\$ 12,704,356

*Note: The amounts shown in FA-Other include funds that are in suspense and restricted pending prior approval. Restricted amounts are shown below.*

<b>Restricted Funds</b>						
Restrict during Review	\$ -	\$ -	\$ -	\$ -		
Unallocated Funds	\$ -	\$ -	\$ -	\$ -		
			<b>Total Restricted</b>	\$ -		
<b>Estimated Unobligated Funds</b>	\$ 604,586	\$ -	\$ -	\$ 604,586	\$ 60,459	\$ 665,045

# Missouri

Hospital Preparedness Program (HPP) -- Program Announcement TP12-1201 -- Budget Period 03

	Base	Total Funded	Match	Total Budget
<b>Financial Assistance</b>				
Personnel	\$ 345,612	\$ 345,612	\$ -	\$ 345,612
Fringe	\$ 151,734	\$ 151,734	\$ -	\$ 151,734
Travel	\$ 15,119	\$ 15,119	\$ -	\$ 15,119
Equipment	\$ 45,391	\$ 45,391	\$ -	\$ 45,391
Supplies	\$ 1,725	\$ 1,725	\$ -	\$ 1,725
Contracts	\$ 3,461,032	\$ 3,461,032	\$ 417,918	\$ 3,878,950
Consultant	\$ -	\$ -	\$ -	\$ -
Other	\$ 92,197	\$ 92,197	\$ -	\$ 92,197
Total Direct Cost	\$ 4,112,810	\$ 4,112,810	\$ 417,918	\$ 4,530,728
Total Indirect Cost	\$ 66,368	\$ 66,368	\$ -	\$ 66,368
<b>TOTAL--FA</b>	\$ 4,179,178	\$ 4,179,178	\$ 417,918	\$ 4,597,096
<b>Direct Assistance</b>				
Personnel	\$ -	\$ -	\$ -	\$ -
Federal Contract	\$ -	\$ -	\$ -	\$ -
Other	\$ -	\$ -	\$ -	\$ -
<b>TOTAL--DA</b>	\$ -	\$ -	\$ -	\$ -
<b>TOTAL AWARD</b>	\$ 4,179,178	\$ 4,179,178	\$ 417,918	\$ 4,597,096

Note: The amounts shown in FA-Other include funds that are in suspense and restricted pending prior approval. Restricted amounts are shown below.

## Restricted Funds

Restrict during Review	\$ -	\$ -		
Unallocated Funds	\$ -	\$ -		
<b>Total Restricted</b>	\$ -	\$ -		
<b>Estimated Unobligated Funds</b>	\$ 399,061	\$ 399,061	\$ 39,906	\$ 438,967